



Practitioner's Docket No.: 892\_001

REF & DEF  
Room - 307

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kenji Ono

Ser. No.: 09/091,788

Filed: September 8, 1998

For: SELF-PROPELLED VEHICLE

Group Art Unit: 3651

Examiner: Sharma, R.

#28/req  
for Refund  
07-27-01

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Assistant Commissioner for Patents, Washington D.C. 20231, Attention: Refund Section, Accounting Division, Office of Finance on July 2, 2001.

Desireé M. Bennett

*Desireé M. Bennett*

ATTENTION: Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND**  
(37 C.F.R. 1.28(a))

OFFICE OF FINANCE  
REFUND BRANCH  
10 AM JUL 11 2001  
US PATENT & TRADEMARK OFFICE

**II. REFUND REQUEST**

On May 2, 2001, a Petition for a one-month Extension of Time was filed, along with payment of the fee (\$110.00) for a large entity. The payment of the fee for a large entity was in error, because the applicant is still a small entity. This request for refund is made within two months of the date a fee of \$110.00 was paid in this application on May 2, 2001.

**NOTE:** The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

**III. FEES PAID FOR WHICH REFUND REQUESTED**

	<b>Amount of Refund Requested</b>
<input type="checkbox"/> Filing fee	_____
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.116(1))	_____
<i>OR</i>	
<input type="checkbox"/> Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	_____
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 CFR 1.51(c)(1) and 37 CFR 1.16(l))	_____
<i>OR</i>	
<input type="checkbox"/> Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 CFR 1.51(c)(1) and 37 CFR 1.16(l))	_____
<input checked="" type="checkbox"/> Other <u>One-half of One Month Extension of Time</u>	<u>\$55.00</u>
<b>TOTAL REFUND REQUESTED</b>	
	<u><b>\$55.00</b></u>

**IV. MANNER OF REFUND**

Please make refund by

crediting Account No. 50-1446.  
 refunding overpayment.

Respectfully submitted,

  
\_\_\_\_\_  
Kevin C. Brown  
Reg. No. 32,402

KCB/dbb

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 25191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320



Your mail room stamp hereon will acknowledge receipt of a Submission of Original Executed Declaration (in duplicate), 3 page Combined Declaration and Power of Attorney, 2 page Verified Statement (Declaration) Claiming Small Entity, and our check in the amount of \$65.00 for:

(822\_004 - September 2, 1998) SPB/cmn

Kenji Ono  
Ser. No.: 09/091,788  
Filed: June 23, 1998  
Art Unit: Not Assigned  
For: SELF-PROPELLED VEHICLE



404 Rec'd PCT/PTO 08 SEP 1998

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(c) AND 1.27 (b)) - INDEPENDENT INVENTOR**

Docket No.  
822\_004

Serial No.  
09/091,788

Filing Date  
June 23, 1998

Patent No.  
Not Assigned

Issue Date  
Not Assigned

Applicant/ Kenji Ono  
Patentee:

Invention: **SELF-PROPELLED VEHICLE**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- the specification to be filed herewith.
- the application identified above.
- the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- No such person, concern or organization exists.
- Each such person, concern or organization is listed below.

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME

ADDRESS

Individual  Small Business Concern  Nonprofit Organization

FULL NAME

ADDRESS

Individual  Small Business Concern  Nonprofit Organization

FULL NAME

ADDRESS

Individual  Small Business Concern  Nonprofit Organization

FULL NAME

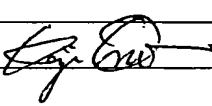
ADDRESS

Individual  Small Business Concern  Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Kenji Ono

SIGNATURE OF INVENTOR 

DATE:

August 13, 1998

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE:

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE: